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IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

BARRY NOTMEYER,  
Plaintiff,  
v.  
STRYKER CORPORATION, et al.,  
Defendants.

No. C 06-04096 SI

**ORDER DENYING DEFENDANTS'  
MOTION FOR SUMMARY JUDGMENT**

On June 15, 2007, the Court heard argument on defendants' motion for summary judgment. Having considered the arguments of the parties and the papers submitted, and for good cause shown, the Court hereby DENIES defendants' motion.

**BACKGROUND**

On March 4, 2004, Dr. Warren Ayers performed total left hip arthroplasty on plaintiff Barry Notmeyer. Plaintiff was implanted with a prosthetic hip replacement system called the Trident. On May 21, 2004, the Alumina C-Taper Head, which is part of the Trident system, shattered and plaintiff underwent revision surgery. Plaintiff subsequently filed suit for personal injuries and damages against the manufacturers of the Alumina C-Taper Head, defendants Stryker Corporation and Howmedica Osteonics Corporation ("HOC"), for strict liability, negligence, breach of warranty, misrepresentation, concealment, and violation of the California Consumer Legal Remedies Act.

The first model of the Alumina C-Taper Head component was approved for use by the Food and Drug Administration ("FDA") in 1997 pursuant to the 510(k) process, by which the FDA determines that a device is suitable for marketing as substantially equivalent to another already-approved device.

1 In 2000, under the 510(k) process again, the FDA approved the particular size of the Alumina C-Taper  
2 Head component implanted in plaintiff. The FDA approved these components for use only with  
3 polyethylene inserts, which the Trident system does not use; instead the Trident system uses “Alumina”  
4 inserts.

5 In 1999, HOC submitted to the FDA a premarket approval (“PMA”) application for the Trident  
6 hip replacement system, which included the Alumina C-Taper Head component.<sup>1</sup> After three years of  
7 PMA review, the FDA approved the device. A day later, HOC submitted a PMA supplement to include  
8 additional Alumina C-Taper Head sizes, including the size used by plaintiff. The FDA approved the  
9 additional sizes via a special 30-day supplemental review process.

10 Defendants now move for summary judgment on the ground that section 360k of the Medical  
11 Device Amendments (“MDA”) preempts plaintiff’s claims.

### 13 **LEGAL STANDARD**

14 The Federal Rules of Civil Procedure provide for summary adjudication when “the pleadings,  
15 depositions, answers to interrogatories, and admissions on file, together with the affidavits, if any, show  
16 that there is no genuine issue as to any material fact and that the party is entitled to a judgment as a  
17 matter of law.” Fed. R. Civ. P. 56(c).

18 On a motion for summary judgment, “[i]f the party moving for summary judgment meets its  
19 initial burden of identifying for the court those portions of the materials on file that it believes  
20 demonstrate the absence of any genuine issues of material fact,” the burden of production then shifts  
21 so that “the nonmoving party must set forth, by affidavit or as otherwise provided in Rule 56, ‘specific  
22 facts showing that there is a genuine issue for trial.’” *T.W. Elec. Service, Inc. v. Pacific Elec.*  
23 *Contractors Ass’n*, 809 F.2d 626, 630 (9th Cir. 1987) (citing *Celotex Corp. v. Catrett*, 477 U.S. 317  
24 (1986)); *Kaiser Cement Corp. v. Fischbach & Moore, Inc.*, 793 F.2d 1100, 1103-04 (9th Cir.), cert.  
25 denied, 479 U.S. 949 (1986).

26  
27 <sup>1</sup> Three years prior to the PMA submission, the Trident underwent clinical testing and laboratory  
28 testing pursuant to an Investigational Device Exemption application, filed with the FDA. See 21 U.S.C.  
§ 360j(g).

1 In judging evidence at the summary judgment stage, the Court does not make credibility  
2 determinations or weigh conflicting evidence, and draws all inferences in the light most favorable to the  
3 nonmoving party. *T.W. Electric*, 809 F.2d at 630-31 (citing *Matsushita Elec. Indus. Co., Ltd. v. Zenith*  
4 *Radio Corp.*, 475 U.S. 574 (1986)); *Ting v. United States*, 927 F.2d 1504, 1509 (9th Cir. 1991). The  
5 evidence the parties present must be admissible. Fed. R. Civ. P. 56(e). Conclusory, speculative  
6 testimony in affidavits and moving papers is insufficient to raise genuine issues of fact and defeat  
7 summary judgment. *See Falls Riverway Realty, Inc. v. Niagara Falls*, 754 F.2d 49 (2d Cir. 1985);  
8 *Thornhill Pub. Co., Inc. v. GTE Corp.*, 594 F.2d 730, 738 (9th Cir. 1979). Hearsay statements found  
9 in affidavits are inadmissible. *See, e.g., Fong v. American Airlines, Inc.*, 626 F.2d 759, 762-63 (9th Cir.  
10 1980). The party who will have the burden of proof must persuade the Court that it will have sufficient  
11 admissible evidence to justify going to trial.

## DISCUSSION

## I. Preemption

15 The principal dispute between the parties on this motion for summary judgment is whether  
16 section 360k of the MDA preempts plaintiff's state common-law and statutory claims. Defendants argue  
17 that once a device receives PMA approval, the entire device and all of its components are subject to  
18 PMA regulatory requirements, which effectively preempt state law tort actions under section 360k.

## A. The PMA process

21        Congress enacted the MDA in 1976 to allow the FDA to regulate medical devices. *See* 21  
22 U.S.C. § 360c. The Act classifies medical devices into three categories based on risk to the public. The  
23 most strict FDA regulation is reserved for Class III devices, which pose “a potential unreasonable risk  
24 of illness or injury,” or which are “purported or represented to be for a use in supporting or sustaining  
25 human life or for a use which is of substantial importance in preventing impairment of human health.”  
26 *Id.* § 360c(a)(1)(C).

27 Before introducing a new Class III device into the market, the device must undergo PMA review,  
28 during which the manufacturer must provide the FDA with “reasonable assurance” that the device is

1 safe and effective. *Id.* § 360e(d)(2). Accordingly, the FDA conducts a rigorous review, for which  
2 “manufacturers must submit detailed information regarding the safety and efficacy of their devices,  
3 which the FDA then reviews, spending an average of 1,200 hours on each submission.” *Medtronic, Inc.*  
4 *v. Lohr*, 518 U.S. 470, 477 (1996). The PMA application must show reasonable assurance of safety by  
5 including a description of the device’s components, manufacturing processes, proposed instructions for  
6 use, clinical data and information, design, testing methods, manufacturing methods, performance  
7 standards, package inserts, and associated labeling. 21 U.S.C. § 360e(c). The application is subject to  
8 withdrawal if at any point the device is found unsafe or inadequate. § 360e(e)(1).

9 Once the manufacturer demonstrates that the device conforms to FDA requirements for safety  
10 and effectiveness, the device is approved. *Id.* § 360e(d)(2). If the manufacturer intends to make  
11 changes that “affect the safety or effectiveness of the device,” then the manufacturer must submit a PMA  
12 supplement for FDA approval. 21 C.F.R. § 814.39(a). If the change does not affect the safety or  
13 effectiveness of the device, then the manufacturer submits a special PMA supplement that is approved  
14 or disapproved within 30 days. *Id.* § 814.39(e).

15 There are two exceptions to the full PMA process: (1) a pre-1976 Class III device may be  
16 “grandfathered in,” and (2) a Class III device may be approved by a 510(k) process provided that it is  
17 “substantially equivalent” to pre-existing devices. 21 U.S.C. § 360e(b)(1)(A)-(B). Unlike PMA review,  
18 “the 510(k) review is completed in an average of only 20 hours.” *Lohr*, 518 U.S. at 479. Most Class  
19 III devices are “introduced to the market through the 510(k) process and without PMA review.” *Id.*  
20

21 **B. Preemption by PMA approval**

22 When Congress enacted the MDA, it sought to establish “national uniformity in product  
23 regulation.” *Brooks v. Howmedica, Inc.*, 273 F.3d 785, 797 (8th Cir. 2001), *cert. denied*, 535 U.S. 1056  
24 (2002). To ensure this uniformity, Congress provided an express preemption clause under section 360k  
25 of the MDA, which states in pertinent part:

26 [N]o State or political subdivision of a State may establish or continue in effect with  
27 respect to a device intended for human use any requirement –

28 (1) which is different from, or in addition to, any requirement applicable under this  
chapter to the device, and

(2) which relates to the safety or effectiveness of the device or to any other matter included in a requirement applicable to the device under this chapter.

21 U.S.C. § 360k(a).

In *Medtronic, Inc. v. Lohr*, the Supreme Court analyzed the scope of the MDA's express preemption clause on state tort lawsuits in the context of a 510(k) approved device. *See* 518 U.S. at 485. The *Lohr* case involved claims of negligence and strict liability for a malfunctioning pacemaker that was 510(k) approved. In a five to four decision, with Justice Breyer writing separately, the Court found that the MDA did not preempt state-law tort claims with respect to a 510(k) approved device. *Id.* at 501-02.

On the issue of whether state common-law actions constitute state requirements for the purposes of MDA preemption, the four justice plurality found that they almost never do. *Id.* at 502-03 (Stevens, J., Kennedy, J., Souter, J., and Ginsburg, J.). They concluded that Congress intended to preempt “device-specific enactments of positive law by legislative or administrative bodies, not the application of general rules of common law by judges and juries.” *Id.* at 489. Reaching the opposite conclusion, another four justices found that “state common-law damages do impose ‘state requirements’ and are therefore preempted where such requirements would differ from those imposed by the FDCA.” *Id.* at 509 (O’Connor, J., Rehnquist, J., Scalia, J., and Thomas, J., concurring and dissenting). Finally, Justice Breyer wrote separately, agreeing with Justice O’Connor’s opinion that a state common-law action constitutes a state requirement, insofar as the MDA preempts a requirement that “takes the form of a standard of care or behavior imposed by state-law tort action.” *Id.* at 504-05.

The Justices were unanimous in finding that the 510(k) approval process does not create MDA preemption of state claims based on defective design. *Id.* at 513. In addition, five justices agreed that federal requirements “preempt state law only if they are ‘specific counterpart regulations’ or ‘specific’ to a ‘particular device.’” *Id.* at 500 (Breyer, J. concurring). Those five justices found that no regulation at issue in the case created specific regulations with respect to the design, manufacturing, or labeling of the particular device at issue. *Id.* at 501. In dissent, Justice O’Connor disagreed with the “specificity” requirement imposed by the Court, and opined that the FDA’s non-device specific “Good Manufacturing Practice” regulations, and the “extensive labeling requirements imposed by the FDA,” would preempt the plaintiff’s manufacturing defect and failure to warn claims. *Id.* at 514. None of the

1 three opinions in *Lohr* addressed whether the PMA process would create a “requirement” for purposes  
2 of MDA preemption.

3 Subsequent to *Lohr*, circuit courts have split on the issue as to whether the PMA approval  
4 process creates specific FDA requirements for purposes of MDA preemption. Five out of six circuit  
5 courts that have faced this issue have ruled that the PMA process creates FDA requirements. *See Riegel*  
6 *v. Medtronic, Inc.*, 451 F.3d 104, 118 (2d Cir. 2006); *Gomez v. St. Jude Medical Daig Div., Inc.*, 442  
7 F.3d 919, 930 (5th Cir. 2006); *Horn v. Thoratec Corp.*, 376 F.3d 163, 169-70 (3d Cir. 2004); *Brooks*,  
8 273 F.3d at 799; *Kemp v. Medtronic, Inc.*, 231 F.3d 216, 226-28 (6th Cir. 2000), *reh’g denied*, 2001 WL  
9 91119 (6th Cir. Jan. 26, 2001), *cert. denied*, 122 S. Ct. 48 (2001); *Mitchell v. Collagen Corp.*, 126 F.3d  
10 902, 911 (7th Cir. 1997), *cert. denied*, 523 U.S. 1020 (1998). In *Mitchell*, the Seventh Circuit held:  
11 “We agree that the PMA process, as opposed to the ‘substantially equivalent’ process at issue in [*Lohr*],  
12 can constitute the sort of specific federal regulation of a product that can have preemptive effect.”  
13 *Mitchell*, 126 F.3d at 911.

14 Post-*Lohr*, only the Eleventh Circuit court has explicitly found that the PMA review process  
15 does not create specific federal requirements. *See Goodlin v. Medtronic, Inc.*, 167 F.3d 1367, 1375-80  
16 (11th Cir. 1999). In *Goodlin*, the plaintiff sued the manufacturer of a PMA-approved pacemaker lead.  
17 The Eleventh Circuit held that “neither the FDA’s actual review of a device and its supporting  
18 information nor the agency’s eventual approval of the device imposes any ascertainable requirement on  
19 the device.” *Id.* at 1375. In reaching this holding, the court engaged in a thorough review of  
20 Congressional intent, and noted that “several highly publicized incidents involving defective medical  
21 devices . . . gave rise to Congress’s legislation in this area. It would have been inconsistent for the same  
22 Congress that enacted these sweeping reforms . . . then to preempt product liability suits when those  
23 devices caused injury.” *Id.* at 1378. The court continued: “We . . . are reluctant to conclude that  
24 Congress sought to remove all remedies available to the very class of persons that it sought to protect  
25 when it enacted the MDA.” *Id.* at 1379.

26 Though the Ninth Circuit has not directly addressed this issue post-*Lohr*, it did address the issue  
27 pre-*Lohr*, in *Kennedy v. Collagen Corporation*, 67 F.3d 1453 (9th Cir. 1995). In *Kennedy*, the plaintiff  
28 brought suit against the manufacturer of a PMA-approved prescription medical device used to treat soft

1 tissue defects. In addressing defendant's preemption argument, the Ninth Circuit stated: "We must  
2 address two threshold questions: (1) what constitutes a 'State . . . requirement' and (2) what constitutes  
3 a 'requirement' under the MDA." *Id.* at 1457 (quoting case). With respect to the first inquiry, the Ninth  
4 Circuit held that state common-law causes of action do not constitute state requirements for the purposes  
5 of MDA preemption. *See id.* at 1459. As discussed above, the Supreme Court in *Lohr* overruled this  
6 first holding of *Kennedy*. The Ninth Circuit recognized this much in *Papike v. Tambrands, Inc.*, 107  
7 F.3d 737, 741 (9th Cir. 1997), stating: "To the extent we concluded in *Kennedy* that the MDA cannot  
8 preempt any state common-law causes of action, the conclusion cannot survive in light of the concurring  
9 and dissenting opinions in [*Lohr*]."

10 However, *Lohr* did not have any discernable impact on the Ninth Circuit's answer to the second  
11 of the two "threshold questions." In this strongly-worded second holding, the Ninth Circuit stated:

12 [I]t makes little sense to hold that the FDA's premarket approval process qualifies  
13 as a "specific requirement applicable to a particular device." 21 C.F.R. § 808.1(d)  
14 (emphasis added). All Class III devices are required to obtain premarket approval  
15 before being sold in interstate commerce. 21 U.S.C. § 360e; 21 C.F.R. § 814.1. The  
16 fact that the premarket approval process involves specific requirements, *see* 21  
17 C.F.R. § 814, 820, must not be confused with the premarket approval requirement  
18 itself acting as a specific requirement. The result of holding that the premarket  
19 approval process is a "specific requirement applicable to a particular device" is the  
20 preemption of claims which, if barred, leave injured plaintiffs without any remedy  
21 in state or federal law.

22 *Id.* (emphasis in original). The court reasoned that to hold otherwise "flies in the face of congressional  
23 intent behind the MDA legislation: consumer protection." *Id.* It concluded:

24 The federal law requiring the premarket approval of Class III devices was not enacted  
25 in order to free manufacturers from the everyday burdens of the marketplace after they  
26 are permitted to enter it. Premarket approval is supposed to benefit consumers, not  
27 create a rose garden, free from liability, for manufacturers. Courts which have held to  
28 the contrary have done so in contravention of the FDA's regulations and statements  
concerning the preemptive scope of the MDA.

29 *Id.* at 1459-60.

30 Nothing in *Lohr*, *Papike*, or any other Ninth Circuit case, overrules this half of *Kennedy*. In fact,  
31 *Papike* supports a conclusion that the Ninth Circuit would reaffirm the second holding of *Kennedy*, post-  
32 *Lohr*. *See* 107 F.3d at 737. In *Papike*, the Ninth Circuit concluded that under the MDA, the specific  
33 and extensive FDA code regulations mandating Toxic Shock Syndrom ("TSS") warning labeling on  
34 tampons, preempted the plaintiff's state law failure to warn claims against a tampon manufacturer. *See*

1 *id.* at 740-42. The court explained: “The regulation is not only device-specific (tampons), but also  
2 disease-specific (TSS). This fact distinguishes Papike’s case from prior relevant MDA preemption  
3 cases, including [*Lohr*, *Kennedy*, and other cases].” *Id.* at 740. “Unlike the general federal requirements  
4 in [*Lohr*], the warning requirements here reflect ‘the sort of concerns regarding a specific device of field  
5 of device regulation which the . . . regulations were designed to protect from potentially contradictory  
6 state requirements.’” *Id.* Consequently, “preemption results in this case because the FDA has  
7 established specific counterpart regulations with respect to labeling tampons.” *Id.* at 741. In an  
8 especially helpful passage to the issues faced here, the court stated:

9 In summary, preemption is triggered by and “the scope of preemption is limited to  
10 instances where there are specific FDA requirements applicable to a particular device.”  
11 *Anguiano*, 44 F.3d at 809 (internal quotation omitted). The tampon labeling regulation  
12 is device- and disease-specific and preemption is warranted in this case. This result  
13 is entirely consistent with [*Lohr*], which did not involve device-specific federal  
14 requirements. *Kennedy* does not support Papike’s position because not only did it  
15 involve general federal requirements, but also because its conclusion that a  
16 common-law claim cannot be preempted does not survive the five Justices’ contrary  
17 conclusion in [*Lohr*].

18 *Id.* at 742. The Ninth Circuit’s statement that *Kennedy* “involve[d] general federal requirements,”  
19 almost conclusively establishes that in its view, even after *Lohr*, the PMA process at issue in *Kennedy*  
20 and here, constitutes and generates “general federal requirements” insufficient to allow MDA  
21 preemption.

22 In light of *Kennedy*, *Papike*, and the persuasive analysis of the Eleventh Circuit in *Goodlin*, this  
23 Court finds that the PMA process does not constitute or create “specific FDA requirements applicable  
24 to a particular device,” *id.*, and cannot, therefore, be used to preempt related state law causes of action.<sup>2</sup>  
25 Here, defendants’ Trident system went through the full PMA review process and received approval on

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26 <sup>2</sup>The Court is also mindful of the Supreme Court’s instruction that:

27 because the States are independent sovereigns in our federal system, we have long  
28 presumed that Congress does not cavalierly pre-empt state-law causes of action. In all  
pre-emption cases, and particularly in those in which Congress has “legislated . . . in  
a field which the States have traditionally occupied,” *Rice v. Santa Fe Elevator Corp.*,  
331 U.S. 218, 230, 91 L. Ed. 1447, 67 S. Ct. 1146 (1947), we “start with the  
assumption that the historic police powers of the States were not to be superseded by  
the Federal Act unless that was the clear and manifest purpose of Congress.” *Ibid.*

*Lohr*, 518 U.S. at 485 (citing additional cases).

1 February 3, 2003. The FDA issued a document entitled “Conditions of Approval,” which required that  
2 the manufacturer submit proposed labeling before marketing, submit PMA supplements before making  
3 any changes affecting safety or effectiveness, submit post-approval reports annually, and report any  
4 adverse reactions. *See Cymbaluk*, Ex. B. As *Goodlin* explains: “The ‘Conditions of Approval’ . . . set  
5 forth rules and regulations generally applicable to all devices approved through the PMA process.” 167  
6 F.3d at 1377. Ultimately, the only requirements imposed on the Trident system were these generic  
7 “Conditions of Approval.” Defendants provide no evidence here of a requirement applicable  
8 specifically to the Trident, the Alumina C-Taper Head, or to prosthetic hips or joints more generally,  
9 such as the Tampon- and TSS-specific requirement at issue in *Papike*. Therefore, even if the device at  
10 issue here was PMA approved, plaintiff’s state law claims are not subject to MDA section 360k  
11 preemption.<sup>3</sup>

12

## 13 **II. Design defect claims**

14 In addition to the preemption argument, defendants briefly argue that plaintiff’s claims  
15 independently fail, for failure to provide evidence of essential claim elements.

16 With respect to plaintiff’s first two claims, for strict liability, defendants argue that under  
17 California law, manufacturers of implanted prescription medical devices such as the Trident cannot be  
18 held strictly liable for defective design. The Court agrees. The California courts announced this  
19 limitation in *Hufft v. Horowitz*, 4 Cal. App. 4th 8, 17 (Cal. Ct. App. 1992), stating: “We hold that a

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20  
21 <sup>3</sup>Though the Court need not do so in light of the foregoing, it is worth noting that the particular  
22 facts of the device approval process in this case cast further doubt on whether plaintiff’s state law claims  
23 are preempted. In particular, it is not clear to the Court that the device at issue here received the benefit  
24 of the full FDA PMA approval process.

25 Though the FDA approved the Alumina C-Taper Head component via the PMA process as part  
26 of the Trident, the FDA approved the particular size of the head component used by plaintiff via the  
27 special 30-day PMA supplemental process. *See Cymbaluk* Decl., Ex. B. As described above, the FDA  
28 approves changes to PMA-approved devices via the special 30-day PMA supplemental process only  
when the FDA determines that the change does not affect the safety or effectiveness of the device.  
Furthermore, in their application for PMA supplemental approval of additional Alumina C-Taper Head  
component sizes, defendants cited to the FDA the fact that the other head sizes had been previously  
approved by the FDA, apart from the Trident system, pursuant to the 510(k) process. *See Parisian* Decl.  
¶57, Ex. D. Given these facts, it is not clear to the Court that the device at issue here can be considered  
to have gone through the full PMA process. Thus even if the PMA process were to create or constitute  
a device-specific federal requirement for MDA preemption purposes, the Court would not necessarily  
apply that preemption to the device at issue here.

1 manufacturer is not strictly liable for injuries caused by an implanted prescription medical product  
2 which has been (1) properly made and (2) distributed with information regarding risks and dangers of  
3 which the manufacturer knew or should have known at the time.” Strict liability is thus limited to  
4 manufacturing defect cases (products which are not “properly made”). Accordingly, the Court  
5 GRANTS defendants’ motion with respect to plaintiff’s strict liability design defect claims.

6 With respect to plaintiff’s negligence-based product liability claim, defendants argue generally  
7 that “Plaintiff has produced no evidence that the design of the Trident system or any component was  
8 in any way defective.” Mot. at 22:17-18. Under California law,

9 in a products liability action based on negligence in the design of a product “placed on  
10 the market,” the test of negligent design “involves a balancing of the likelihood of  
11 harm to be expected from a machine with a given design and the gravity of harm if it  
happens against the burden of the precaution which would be effective to avoid the  
harm.”

12 *Merrill v. Navegar, Inc.*, 26 Cal. 4th 465, 479 (Cal. 2001) (quoting *Pike v. Frank G. Hough Co.*, 2 Cal.  
13 3d 465, 470, 85 Cal. Rptr. 629, 467 P.2d 229 (Cal. 1970)). In a negligence case, unlike a strict liability  
14 case, “the jury’s focus is properly directed . . . to the reasonableness of the manufacturer’s conduct,”  
15 rather than “the condition of the product itself.” *Barker v. Lull Enq’q, Co.*, 20 Cal. 3d 413, 434 (Cal.  
16 1978). Here, there is sufficient, and undisputed, evidence that the Trident system involved some  
17 likelihood of grave harm. It is for the jury to weigh this harm “against the burden of the precaution  
18 which would be effective to avoid the harm.” *Merrill*, 26 Cal. 4th at 479.

19 In their motion, defendants also suggest, without explicitly saying so, that the FDA’s review of  
20 the Trident precludes plaintiff’s negligence claims. While this may be one factor in the fact-finder’s  
21 consideration of the weighing test described above, it is not dispositive. The Court therefore DENIES  
22 defendants’ motion to dismiss plaintiff’s negligent product liability claim.

23

### 24 III. Manufacturing defect claims

25 With respect to plaintiff’s manufacturing defect theory, defendants contend that plaintiff has  
26 failed to raise a triable issue as to whether “any component of the device differed from other typical  
27 units of the same product line when it left HOC’s control.” Mot. at 23:7-8. Under California law, a  
28 manufacturing defect occurs where the “product differs from the manufacturer’s intended result or from

1 other ostensibly identical units from the same product line.” *Barker*, 20 Cal.3d at 429. At this stage,  
2 on a motion for summary judgment brought and argued before the close of discovery, plaintiff has  
3 provided sufficient evidence that the product at issue “differ[ed] from the manufacturer’s intended  
4 result.” Defendants are correct that under California law, “a defect in manufacture or design defect must  
5 be affirmatively established, and an inference of defect solely from the fact of an accident cannot be  
6 drawn.” *Hinckley v. La Mesa R.V. Center, Inc.*, 158 Cal. App. 3d 630, 642 (Cal. Ct. App. 1984).  
7 However, this rule does not preclude a plaintiff from proving a manufacturing defect using only  
8 circumstantial evidence. *See, e.g., id.* at 643 (“Where a product fails to such an extent that its  
9 examination can furnish no clue as to the specific part that failed, the facts (1) the accident occurred  
10 shortly after sale, (2) plaintiffs did nothing to bring about the accident, and (3) expert testimony suggests  
11 a defect in fact was responsible for the accident, allow the issue of whether defendants are strictly liable  
12 for plaintiffs’ injuries to be submitted to the jury.”). Here, even before the close of fact and expert  
13 discovery, plaintiff has presented sufficient circumstantial evidence to raise a triable issue of fact as to  
14 whether the subject femoral head contained a manufacturing defect.

15

16 **IV. Failure to warn claims**

17 “A medical product manufacturer fulfills its duty to warn of risks by providing adequate  
18 information to the physician.” *Hufft v. Horowitz*, 4 Cal. App. 4th 8, 21 n.14 (1992). Here, defendants  
19 argue that the Trident package labeling included FDA-approved warnings, and that “the undisputed  
20 evidence establishes that these warnings were provided to the implanting physician.” Mot. at 24:6.  
21 Defendants cite to no such evidence, however, and it is their burden to do so. Accordingly, the Court  
22 DENIES defendants’ motion for summary judgment on plaintiff’s failure to warn claims.

23

24 **V. Breach of warranty, misrepresentation, concealment**

25 Finally, in a page-long smattering of arguments, defendants attack plaintiff’s five remaining  
26 causes of action. First, defendants argue that plaintiff “cannot establish the existence of any evidence  
27 that any representations were made by defendants concerning the safety of the device other than the  
28 information contained in the FDA-approved labeling that his doctor may have discussed with him.”

1 Mot. at 24:16-18. In response, plaintiff argues that his claims are based on omission of information,  
2 rather than active misrepresentation. For example, plaintiff argues that defendants failed to disclose to  
3 plaintiff and his physician that the femoral head of the size and neck angle used in plaintiff was more  
4 likely to fracture than other sizes, or that there was a superior material available to defendants at the  
5 time. Plaintiff's omission claims thus tie directly in with his design and manufacturing defect claims,  
6 as to which plaintiff has raised material issues of fact.

7 Defendants also rely in this section on the "undisputed" fact that HOC provided the FDA-  
8 approved warnings to plaintiff's physician. As discussed above, defendant provides no such evidence.  
9 Furthermore, defendants cite no authority for the proposition that FDA approval of warnings precludes  
10 claims based on inadequate warnings. Accordingly, the Court DENIES defendants motion for summary  
11 judgment on these claims.

12

13 **CONCLUSION**

14 For the foregoing reasons and for good cause shown, the Court hereby DENIES defendants'  
15 motion for summary judgment on plaintiff's claims.<sup>4</sup>

16

17 **IT IS SO ORDERED.**

18

19 Dated: August 6, 2007

*Susan Illston*  
20 SUSAN ILLSTON  
21 United States District Judge

22

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24

25

26 <sup>4</sup>The parties bring several objections to each other's evidence. The Court DENIES defendants'  
27 objections to Exhibit C of the Abrams declaration, and SUSTAINS defendants' objections as to Exhibit  
28 D. The Court did not rely upon any other objected-to exhibits, or the actual declarations of Suzanne  
Parisian or Rachel Abrams, in reaching the conclusions contained in this order. The Court therefore  
DENIES defendants' additional objections as moot. Plaintiff's evidentiary objections are DENIED AS  
MOOT.